

# Eigenstate Thermalization in Sachdev-Ye-Kitaev model

&

# Schwarzian theory

by

Pranjal Nayak

[based on 1901.xxxxx]

with Julian Sonner and Manuel Vielma

# Closed Quantum Systems

Quantum Mechanics is unitary!

$$|\psi(t)\rangle = \mathcal{U}(t, t_0)|\psi(t_0)\rangle$$

How come we observe  
thermal physics?

How come we observe  
black hole formation?

# Plan of the talk

- ✓ Review of ETH
- ✓ Review of Sachdev-Ye-Kitaev model
- ✓ Numerical studies of ETH in SYK model
- ✓ ETH in the Schwarzian sector of the SYK model
- ✓ ETH in the Conformal sector of the SYK model
- ✓ A few thoughts on the bulk duals
- ✓ Summary and conclusion

# Eigenstate Thermalization Hypothesis (ETH)

# Quantum Thermalization

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Classical thermalization: **ergodicity**  $\Leftarrow$  chaos

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v.s.

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micro-canonical ensemble

avg. energy of ensemble



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A generic excited state will then thermalise by **dephasing**

$$\langle \psi | \mathcal{O} | \psi \rangle = \sum_{i,j} c_i^* c_j e^{it(E_i - E_j)} \mathcal{O}_{ij} \longrightarrow \overline{\mathcal{O}}(\overline{E}) + e^{-S}$$

expectation value  
of non-extensive operator

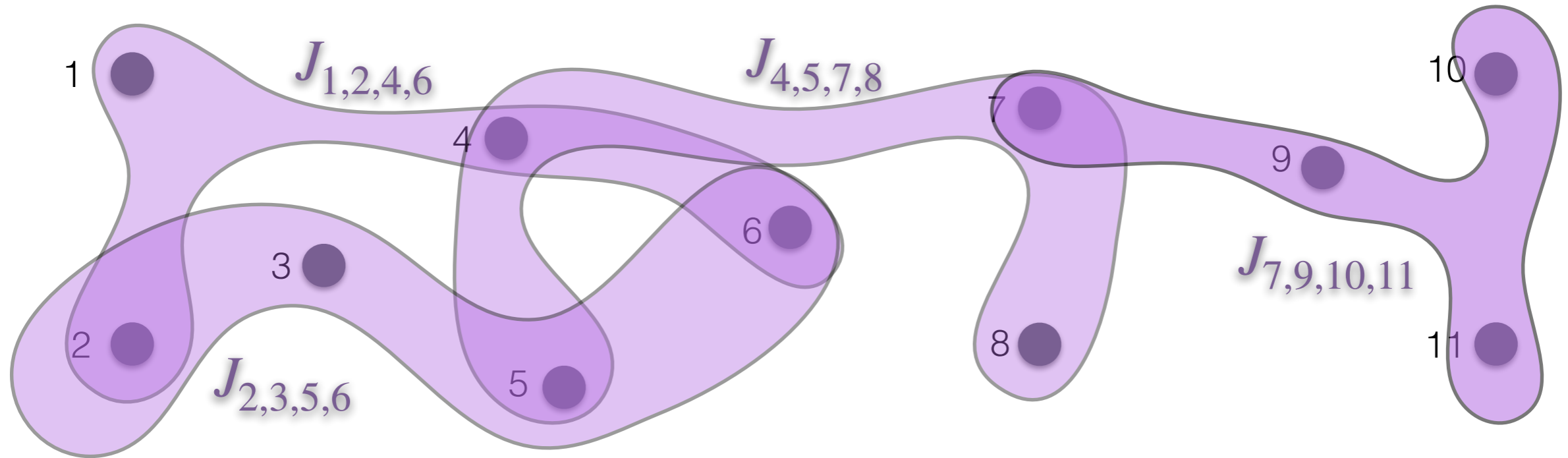
dephasing:  
spectral chaos

on average thermal  
up to exponential in S

# Sachdev-Ye-Kitaev (SYK) model: a Review

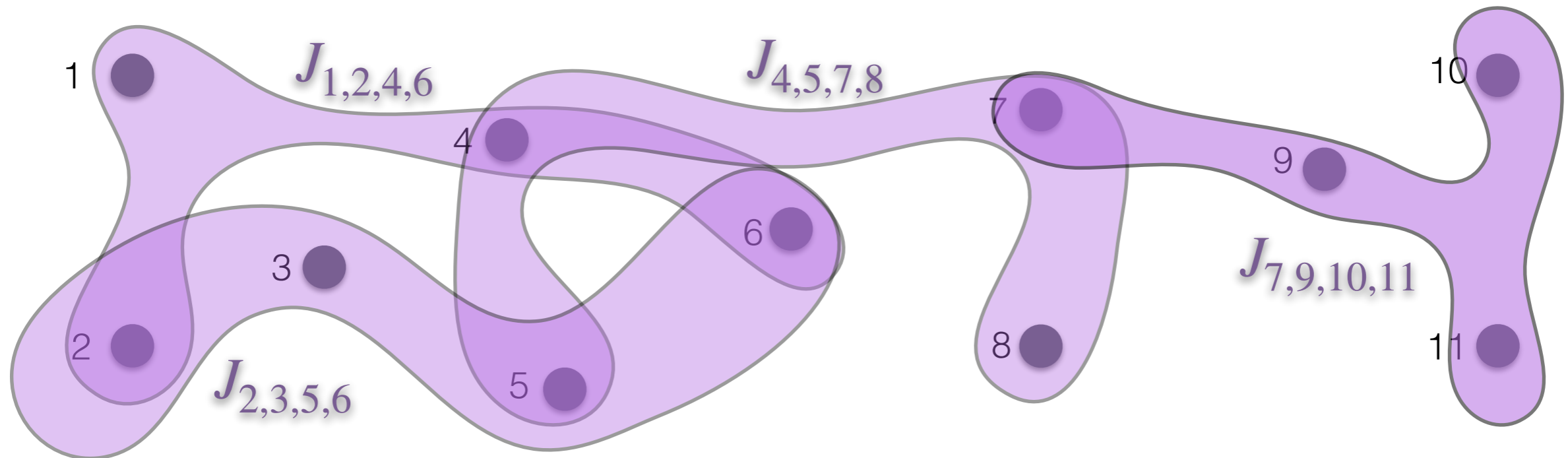
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Kitaev '15]

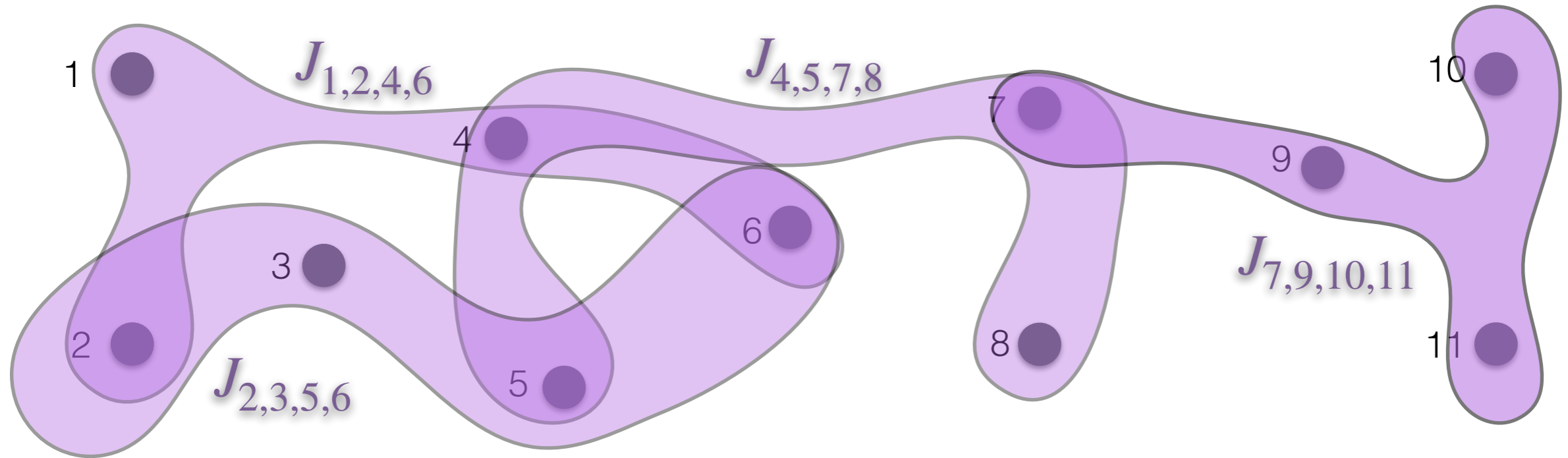
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- ▶ a model of  $N$  Majorana fermions
- ▶ with **all-to-all** couplings
- ▶ and **quenched random** couplings

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$$H = - \sum_{1 \leq i_1 < i_2 < i_3 < i_4 \leq N} J_{i_1 i_2 i_3 i_4} \psi_{i_1} \psi_{i_2} \psi_{i_3} \psi_{i_4}$$

where,  $J$  is chosen from a Gaussian ensemble:

$$\langle J_{ijkl} \rangle = 0 \quad \langle J_{ijkl}^2 \rangle = \frac{3! J^2}{N^3}$$

# Solvable Limit of SYK

[Sachdev '15; Parcollet, Georges '00;  
Kitaev '15; Polchinski, Rosenhaus '16; Jevicki, Suzuki, Yoon '16; Maldacena, Stanford '16]



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- ▶ at large- $N$ , it is often useful to use bi-local effective action

$$-\frac{S_{\text{col}}}{N} = \log \text{Pf} [\partial_\tau - \Sigma] + \frac{J^2}{2q} \int d\tau d\tau' |G(\tau, \tau')|^q - \frac{1}{2} \int d\tau d\tau' \Sigma(\tau', \tau) G(\tau, \tau')$$

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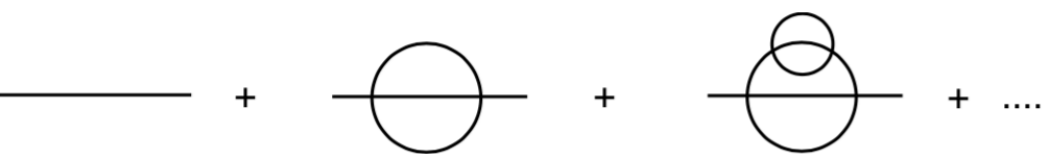
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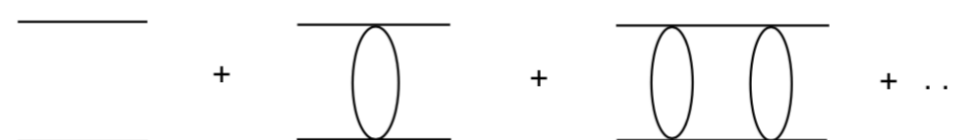
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captures **Melonic Physics!**



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- ▶ This symmetry is **explicitly broken** by considering the  $1/J$  corrections.



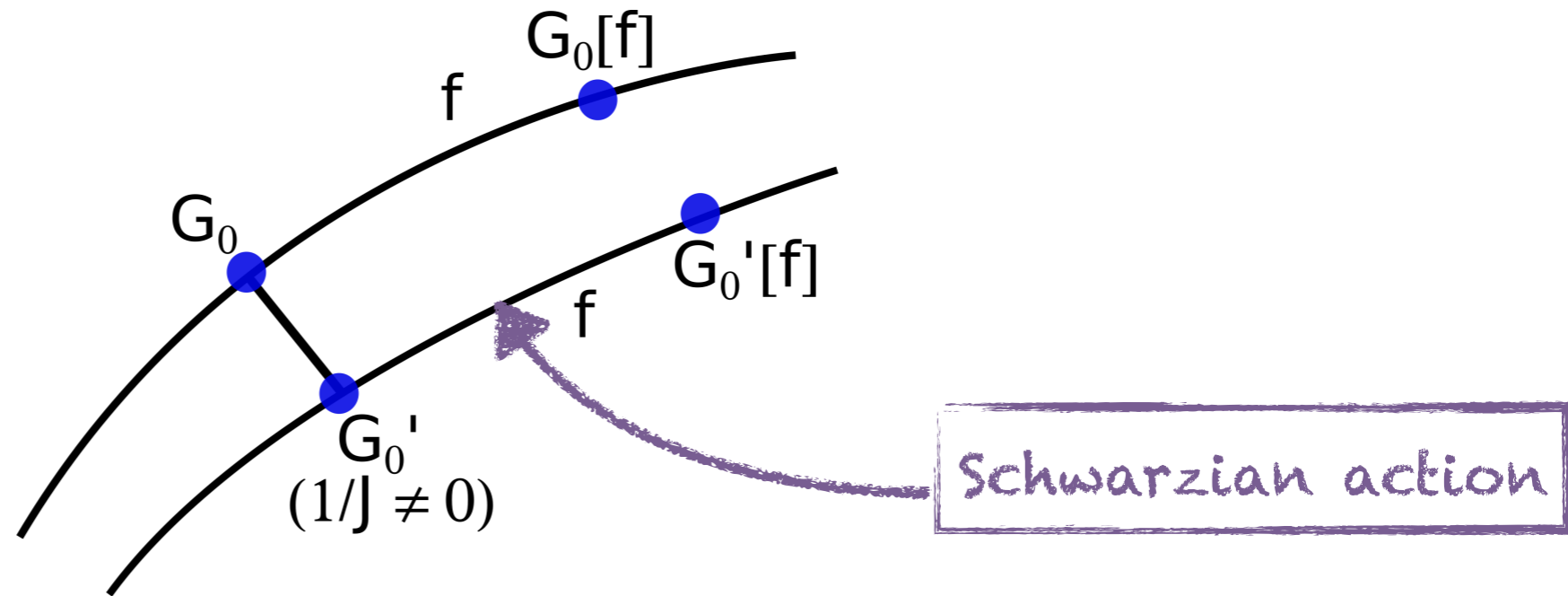
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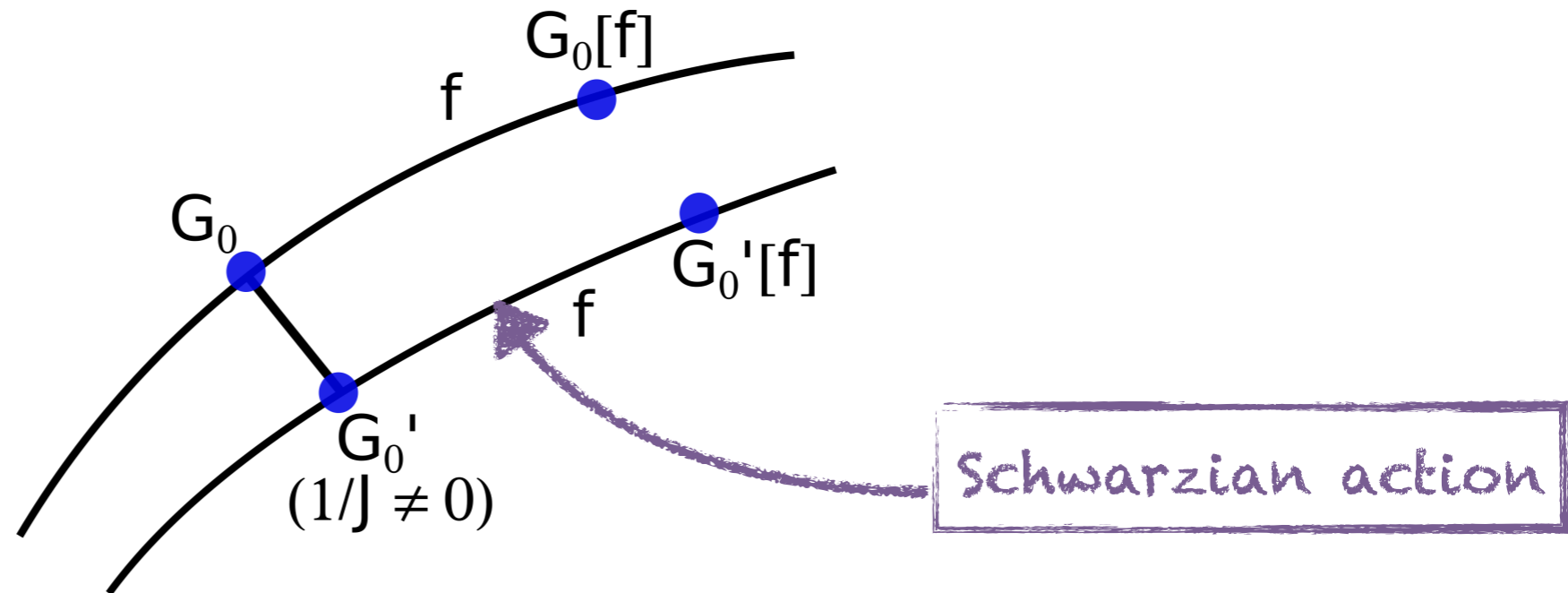
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[Kitaev '15; Maldacena, Stanford '16]

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- ▶ Effective action on the 'reparametrization modes'

$$\int \frac{f(\tau)}{\text{SL}(2, \mathbb{R})} \exp \left[ -\frac{1}{g^2} \int d\tau \{f(\tau), \tau\} \right]$$

where,  $\{f(\tau), \tau\} = \frac{f'''(\tau)}{f'(\tau)} - \frac{3}{2} \left( \frac{f''(\tau)}{f'(\tau)} \right)^2$   $g^2 \sim \frac{\beta J}{N}$

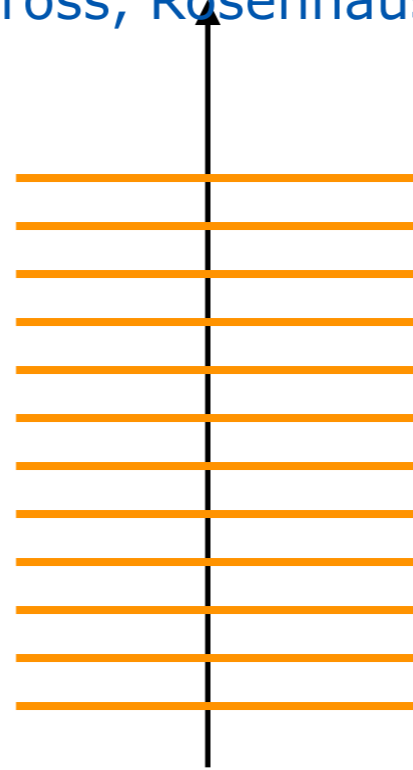
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[Kitaev '15; Polchinski, Rosenhaus '15; Jevicki, Suzuki, Yoon '16; Maldacena, Stanford '16;  
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discrete tower of states

$$\mathcal{O}_n \sim \psi_i \partial^{2n+1} \psi_i$$

$$h_n = 2n + 1 + \epsilon_n$$

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continuum: Schwarzian

$$S = -\frac{1}{g^2} \int d\tau \{f(\tau), \tau\}$$

$$f(\tau) \in \mathbf{Diff}(S^1)$$



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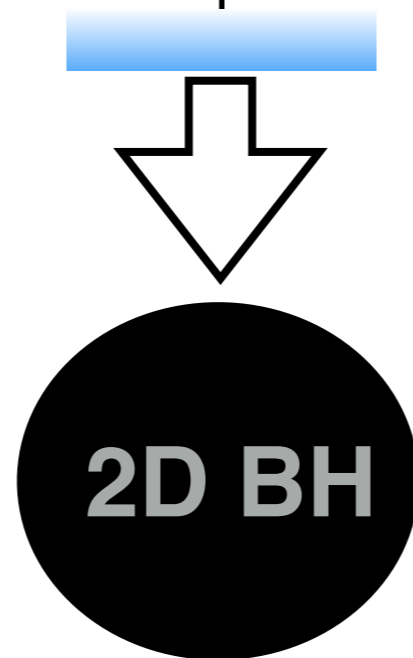
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OPE coeffs.

**2D BH**

**ETH**



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[PN, Julian Sonner & Manuel Vielma]

# ETH in the SYK model: A numerical study

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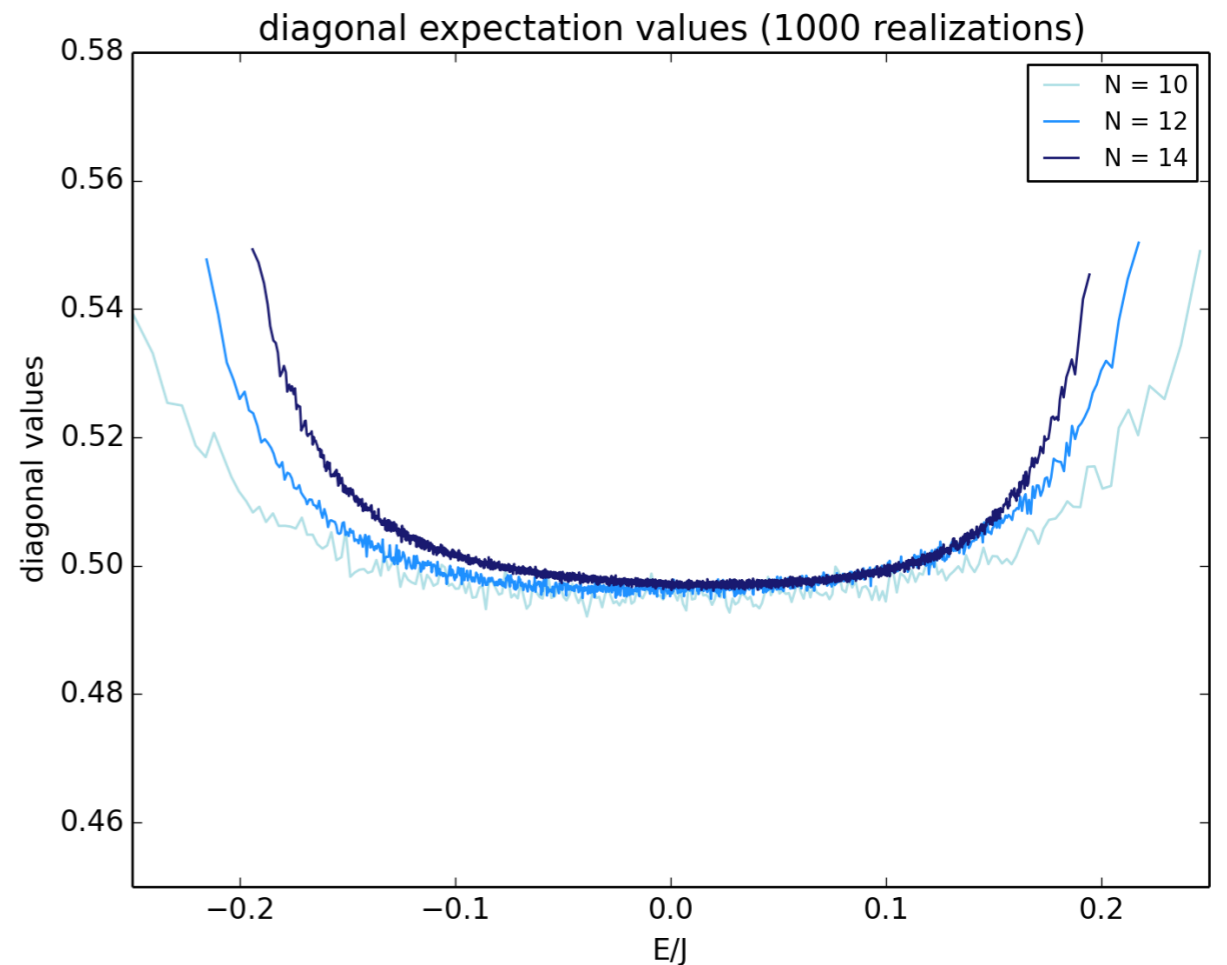
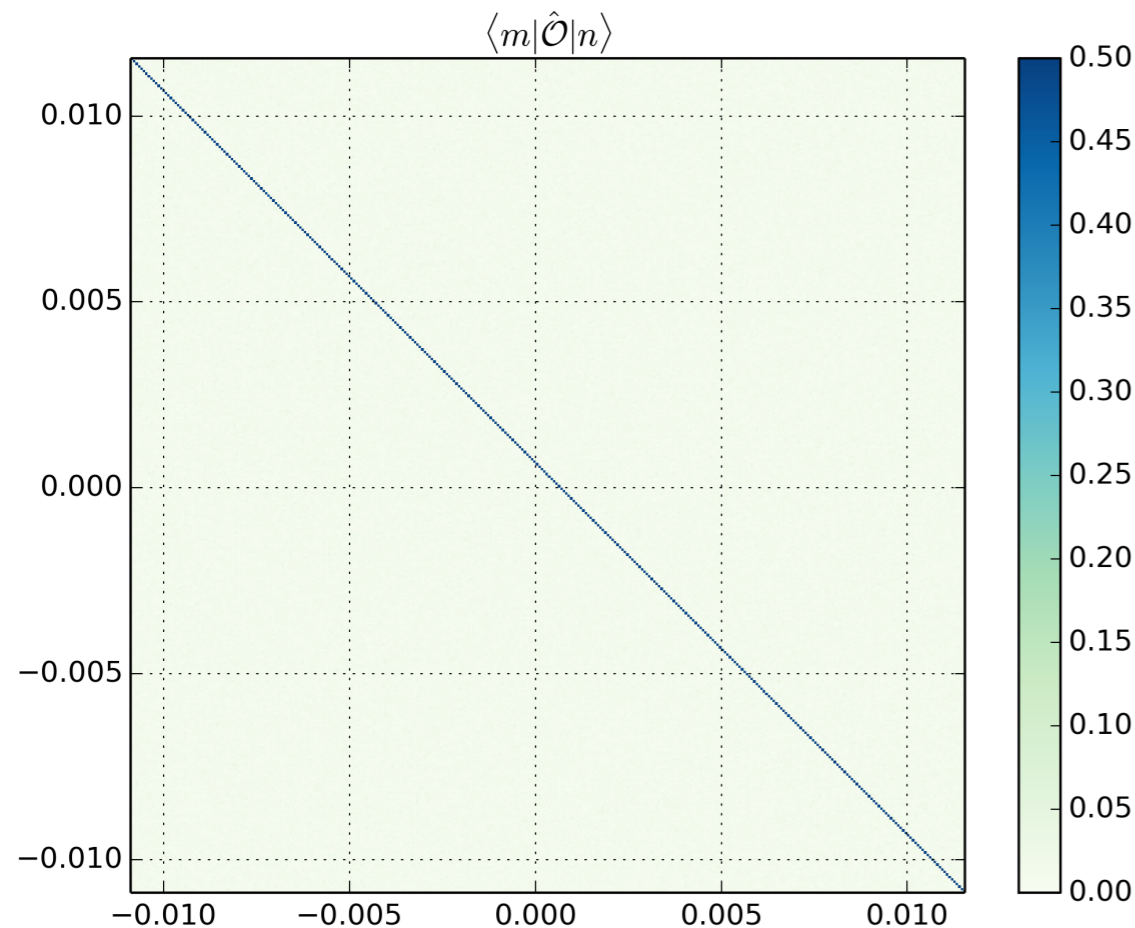
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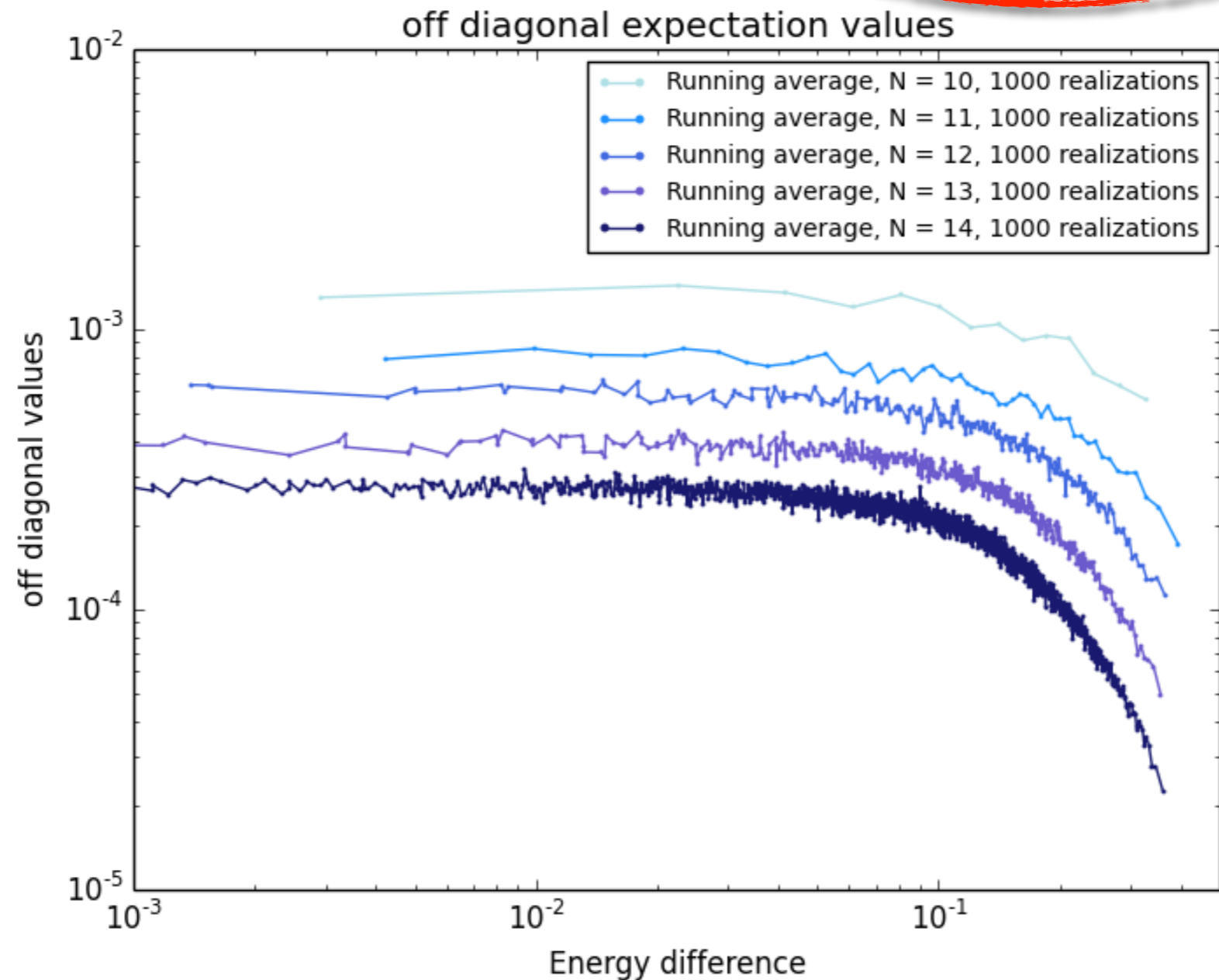




# RMT or Not?

- ▶ Need to look at the off-diagonal matrix elements

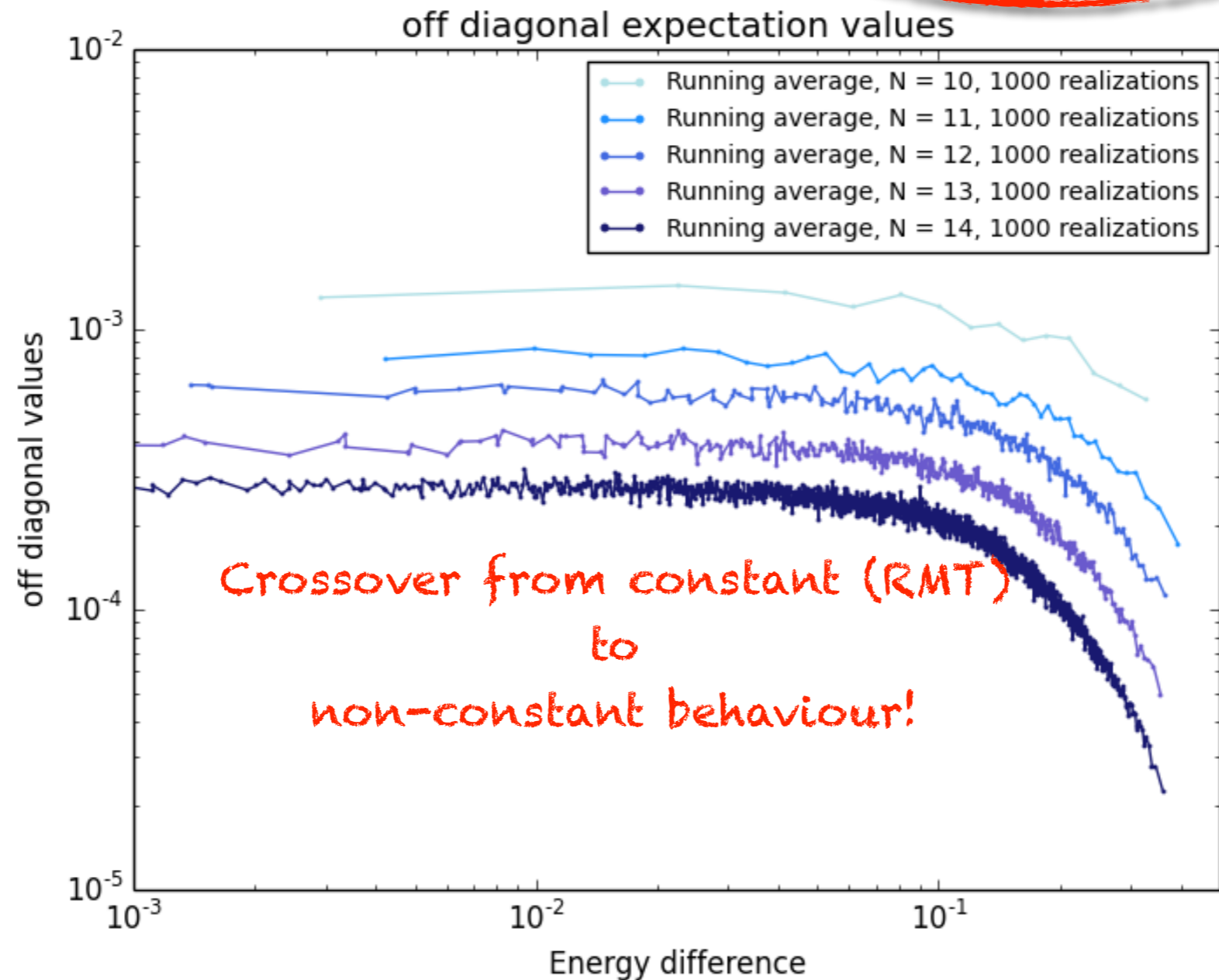
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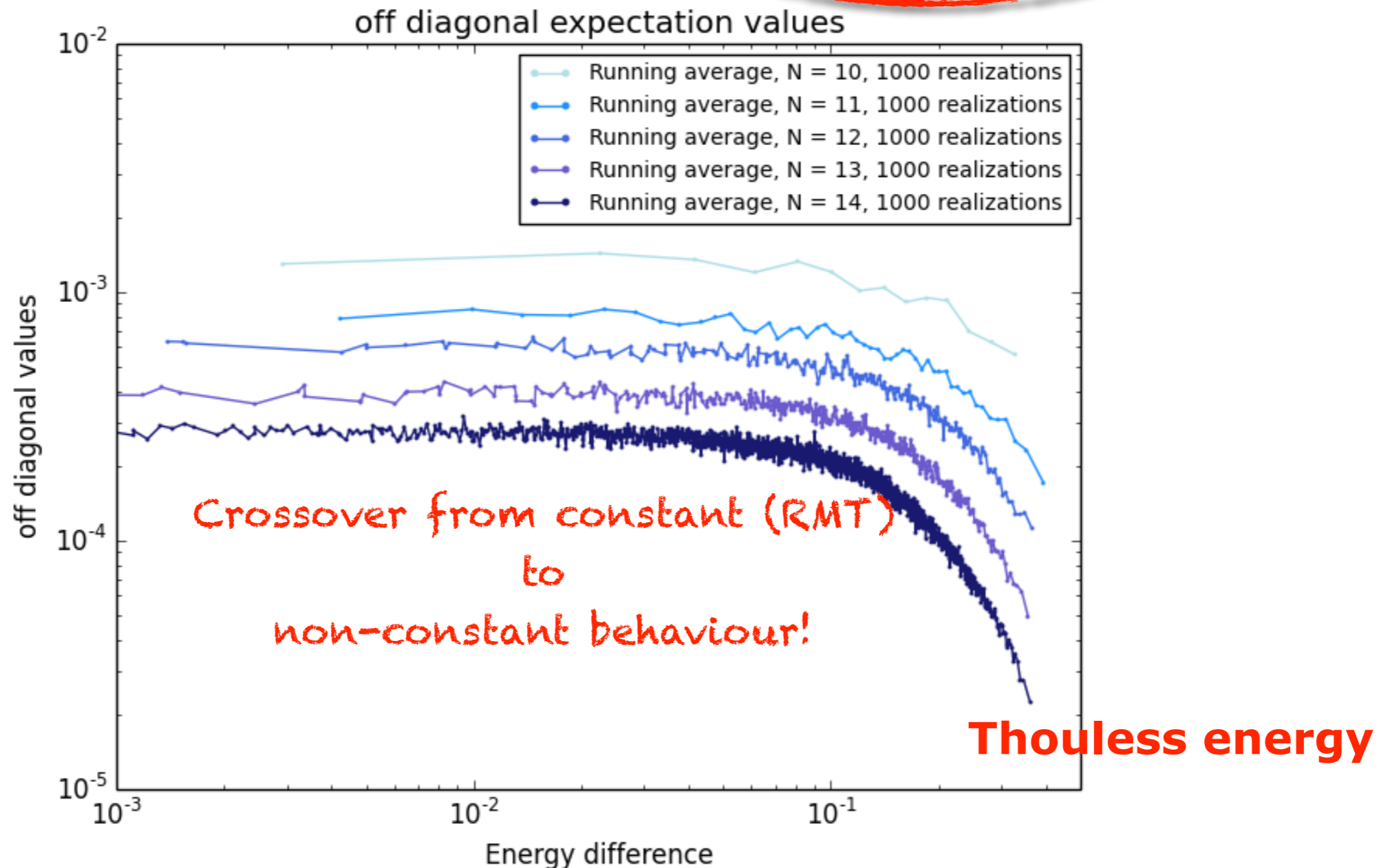
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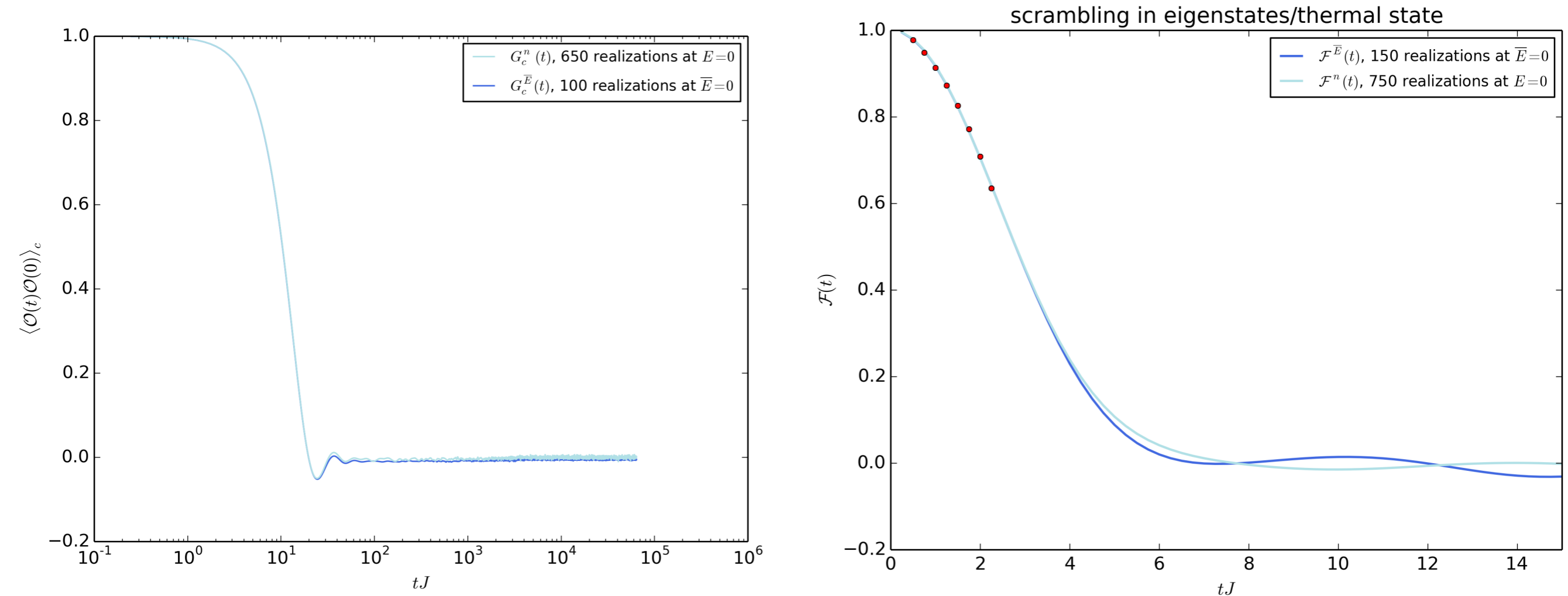
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# More evidence of ETH

[Sonner, Vielma '17]

## Compare OTOC in eigenstates to thermal result



Become essentially indistinguishable as system size increases

Conjecture:  $\exists \lambda_L^{\text{ETH}} = \frac{2\pi}{\beta(E)}$



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- ? Can we do a dual bulk computation to understand black hole formation in 2 dimensions?



# ETH in the Schwarzsian Limit

# Correlation Functions

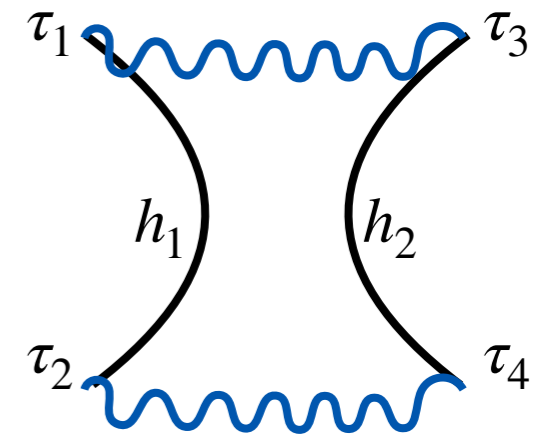
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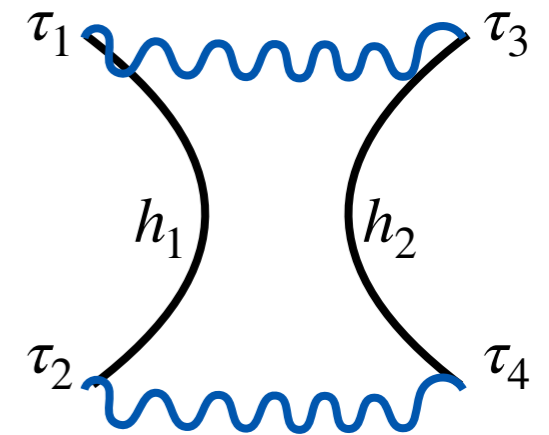
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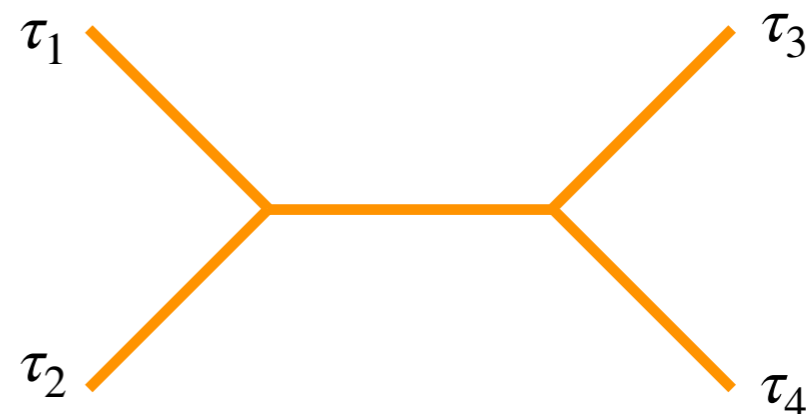
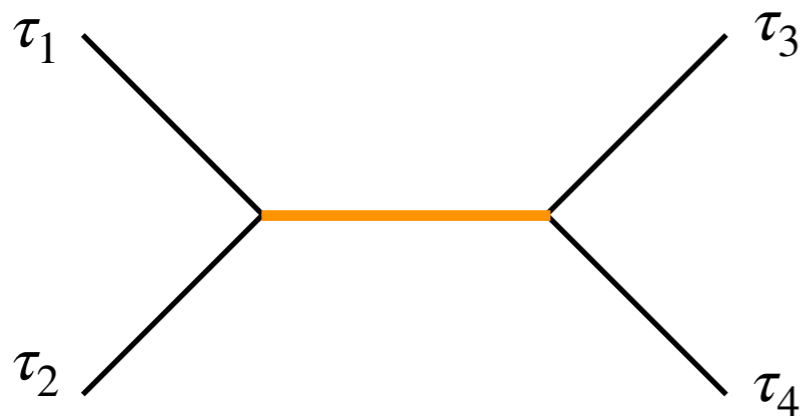
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- ◆ Exchange of **excited modes** (conformal limit)



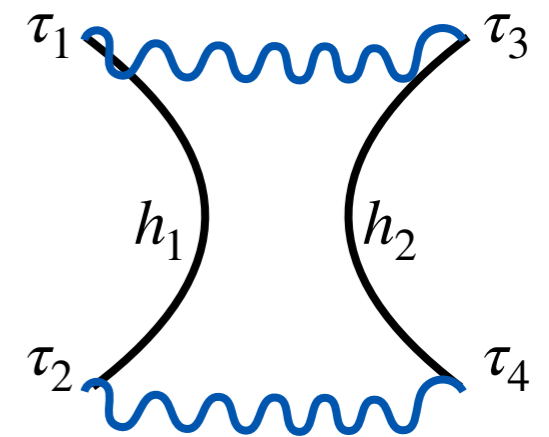
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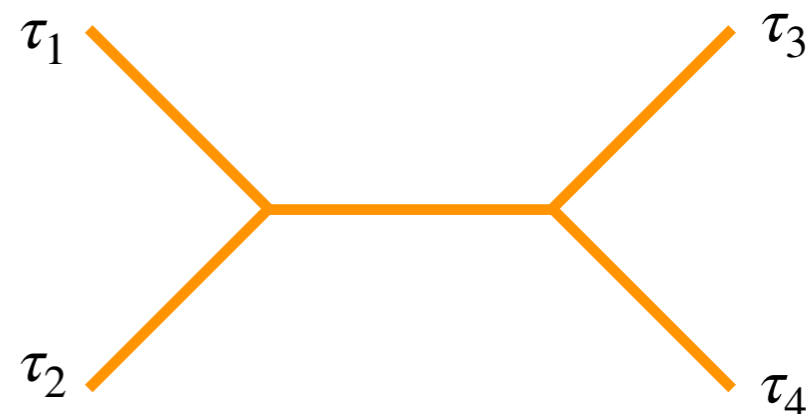
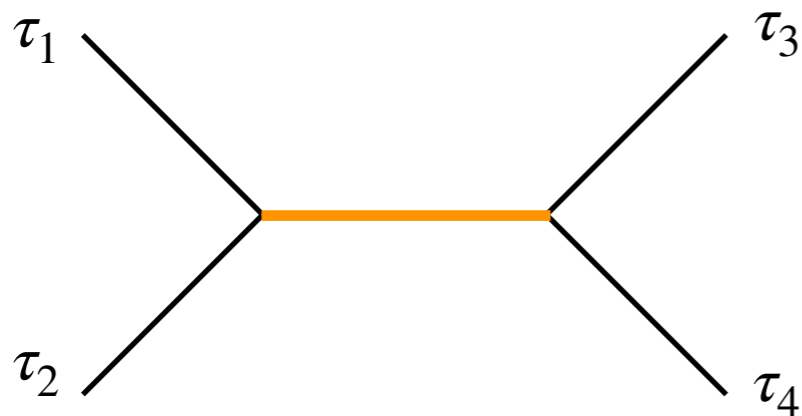
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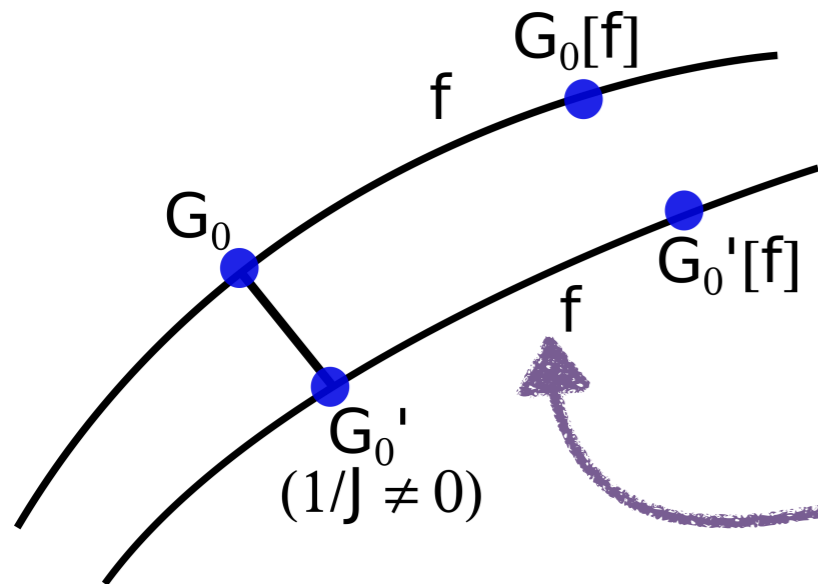
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Schwarzian action

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$$g^2 \sim \frac{\beta J}{N}$$



# The Schwarzian theory

- For large but finite values of SYK coupling  $J$ , the conformal symmetry is explicitly broken

The diagram illustrates the Schwarzian action. It shows two curves, one above the other. The upper curve has points  $G_0$  and  $G_0[f]$ . The lower curve has points  $G_0'$  and  $G_0'[f]$ . A purple arrow points from a box labeled "Schwarzian action" to the lower curve. A label  $(1/J \neq 0)$  is placed near  $G_0'$ . The label  $f$  is placed near the curves.

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- $f(\tau)$  are the pseudo-Goldstone modes

The leading contribution to the physical observables is due to exchange of these modes

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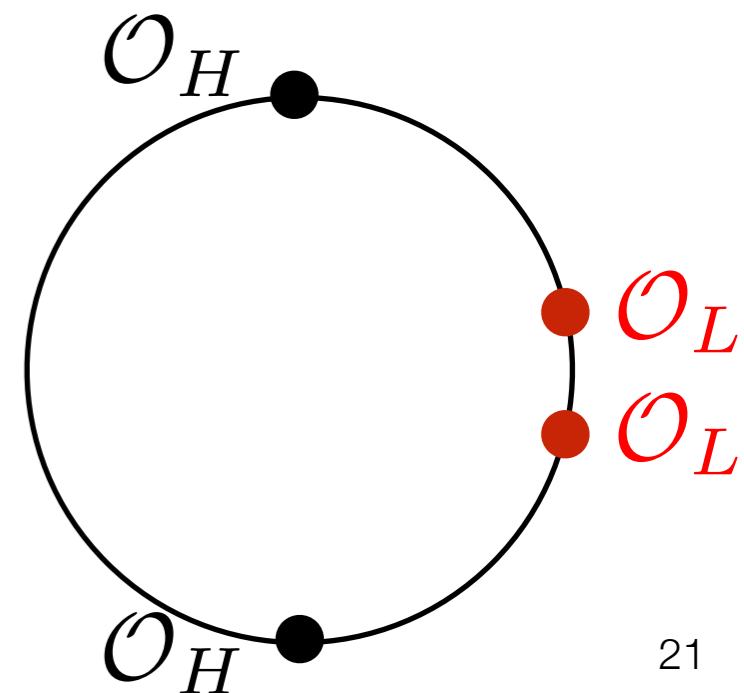
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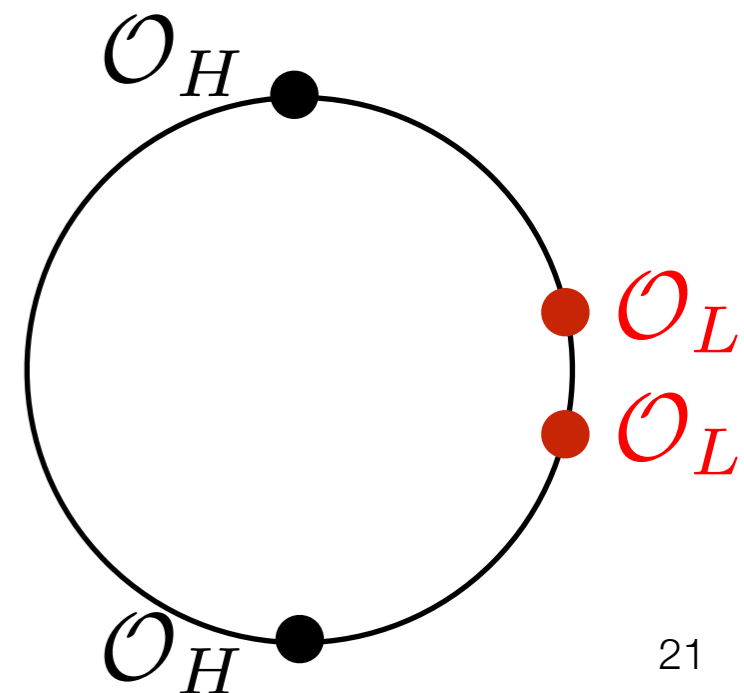
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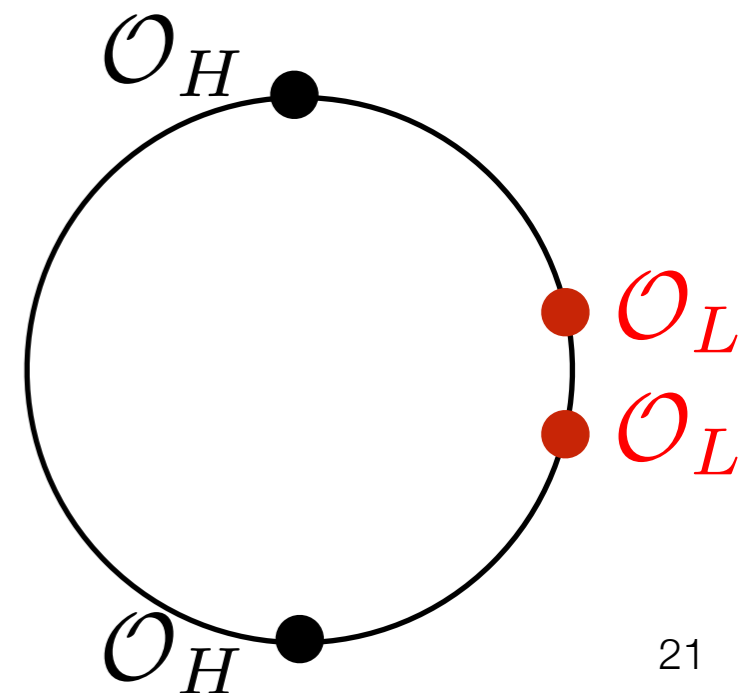
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**Weak ETH!**

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[LMTV '18; Altland, Bagrets, Kamanev '16]



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These states *thermalize* with

$$\tilde{T}_{eff} = \frac{\sqrt{2g^2 E}}{2\pi}$$

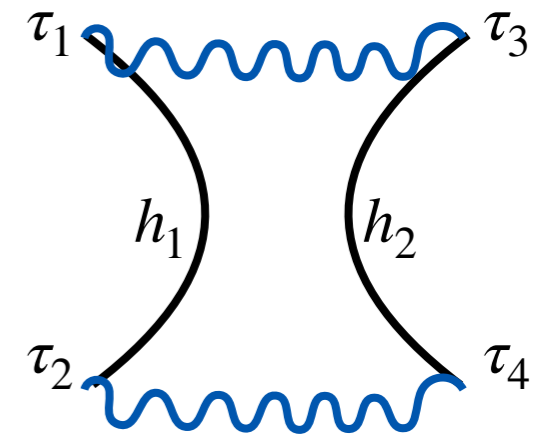
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# ETH in the Conformal Limit

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- Correlation functions of the fermions as well as of the excited operators in SYK spectrum get contribution from:

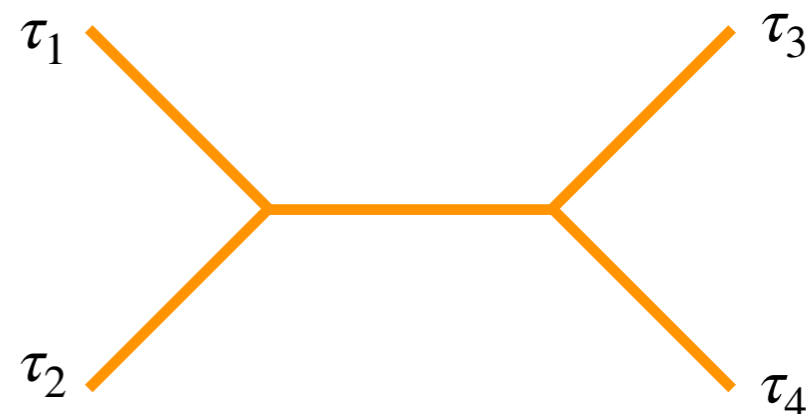
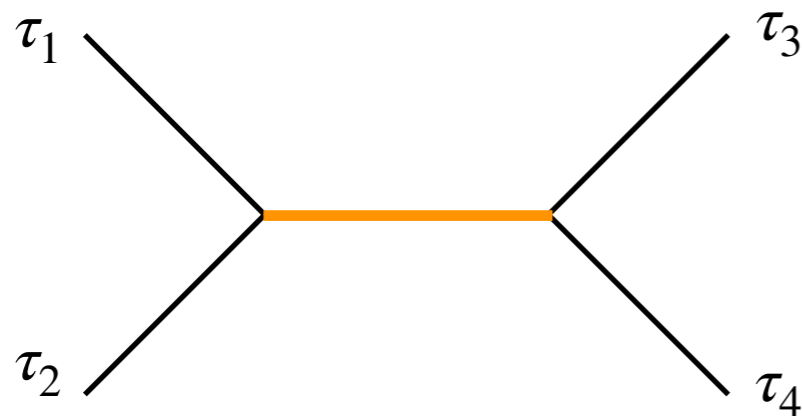
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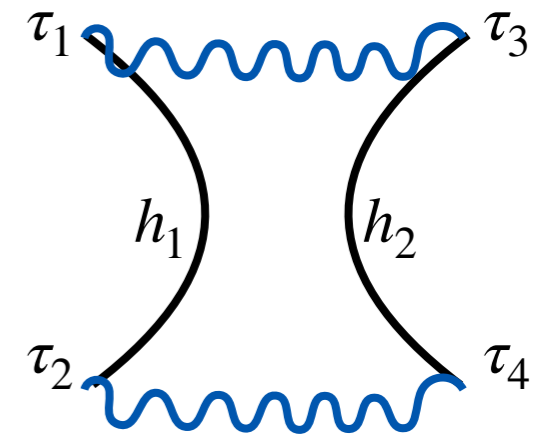
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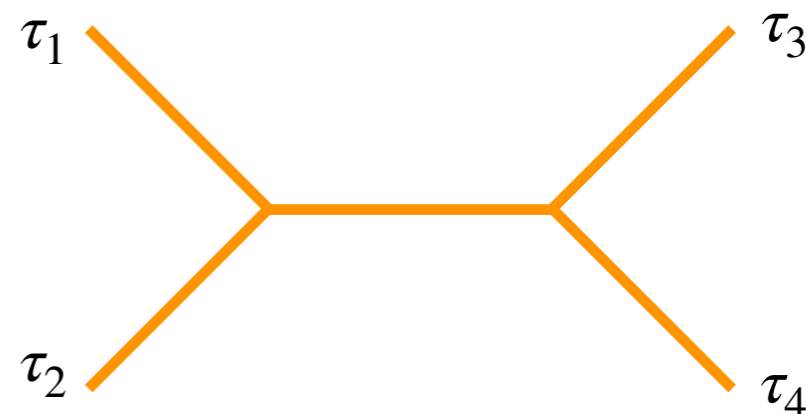
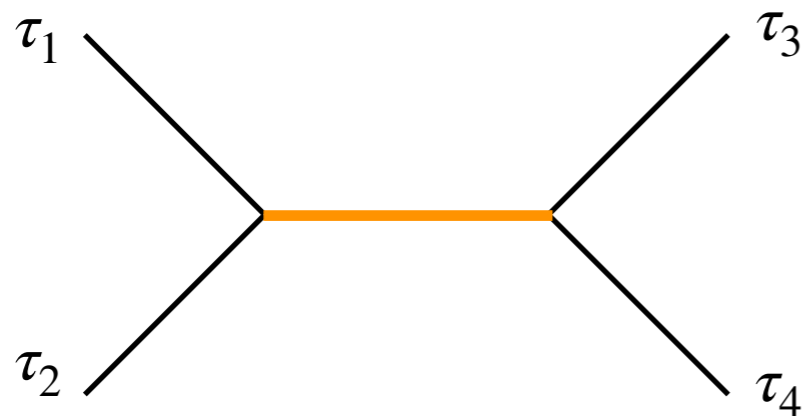
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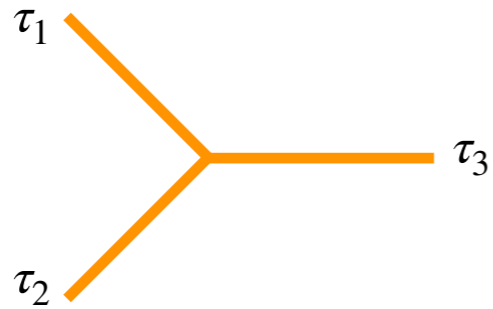
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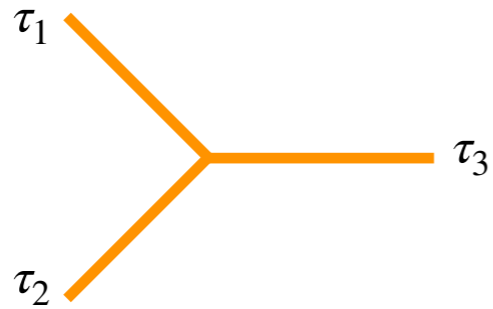
discrete tower of states

$$\mathcal{O}_n \sim \psi_i \partial^{2n+1} \psi_i$$

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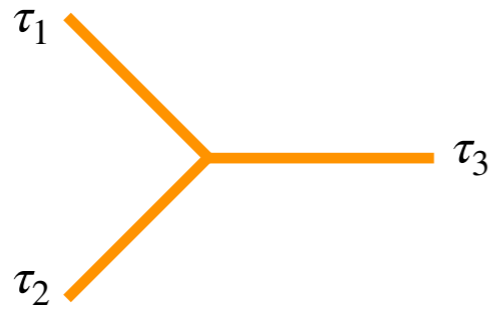
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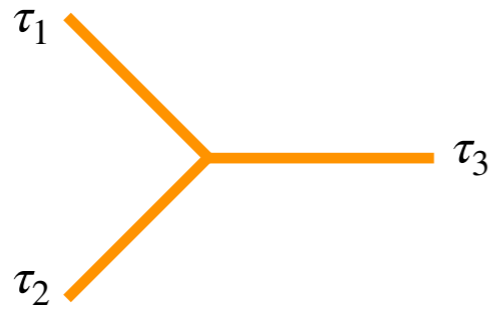
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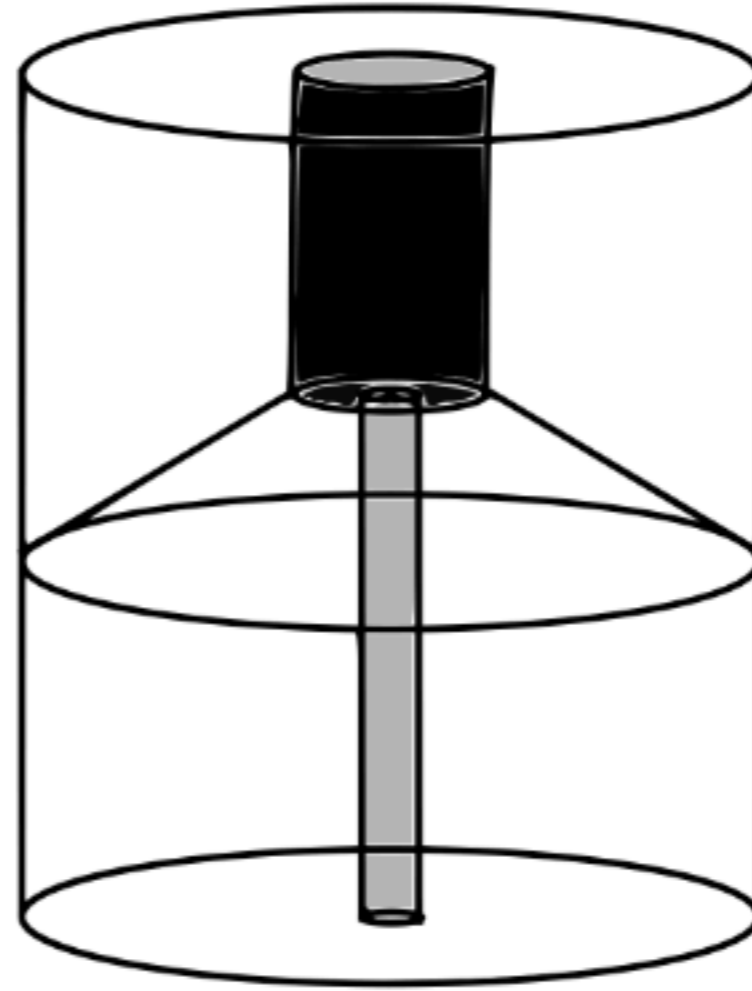
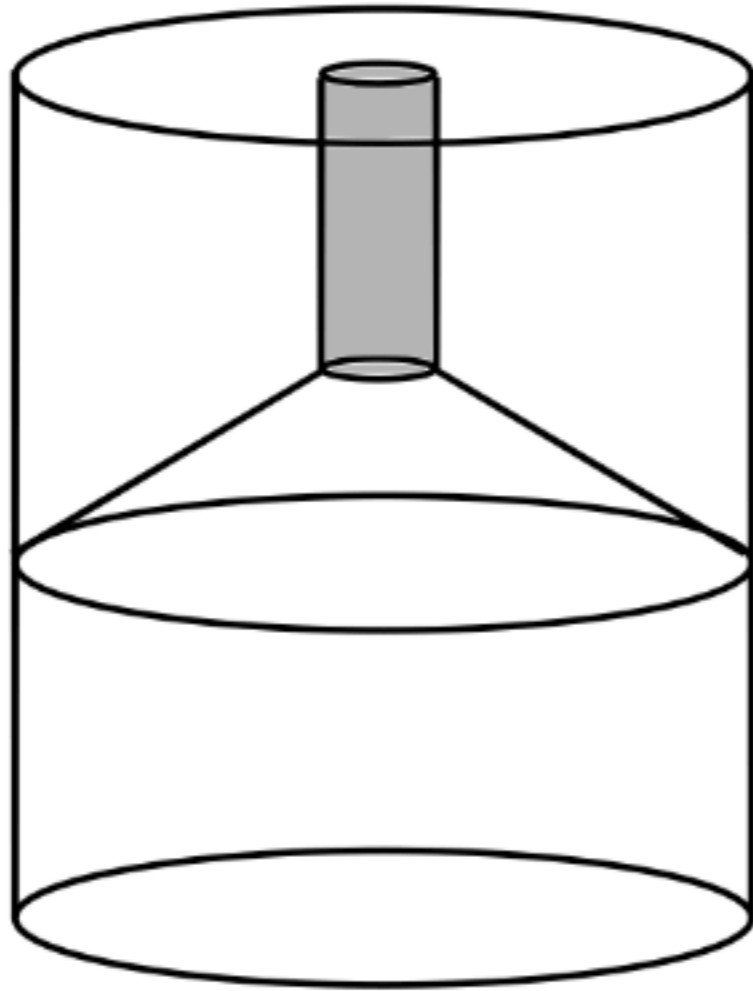
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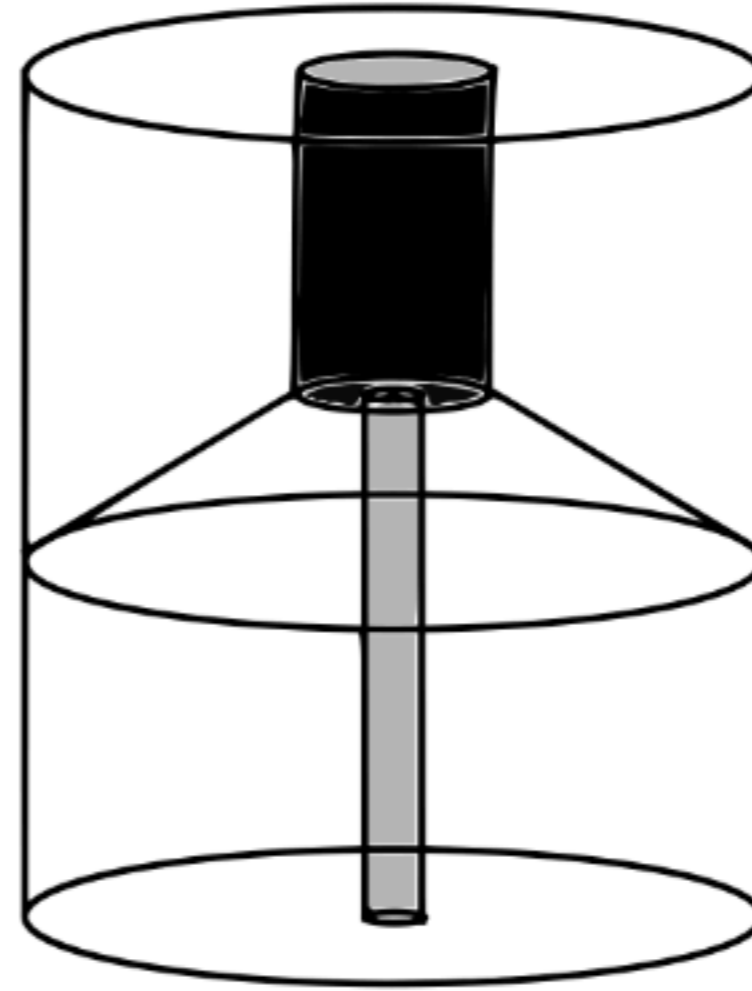
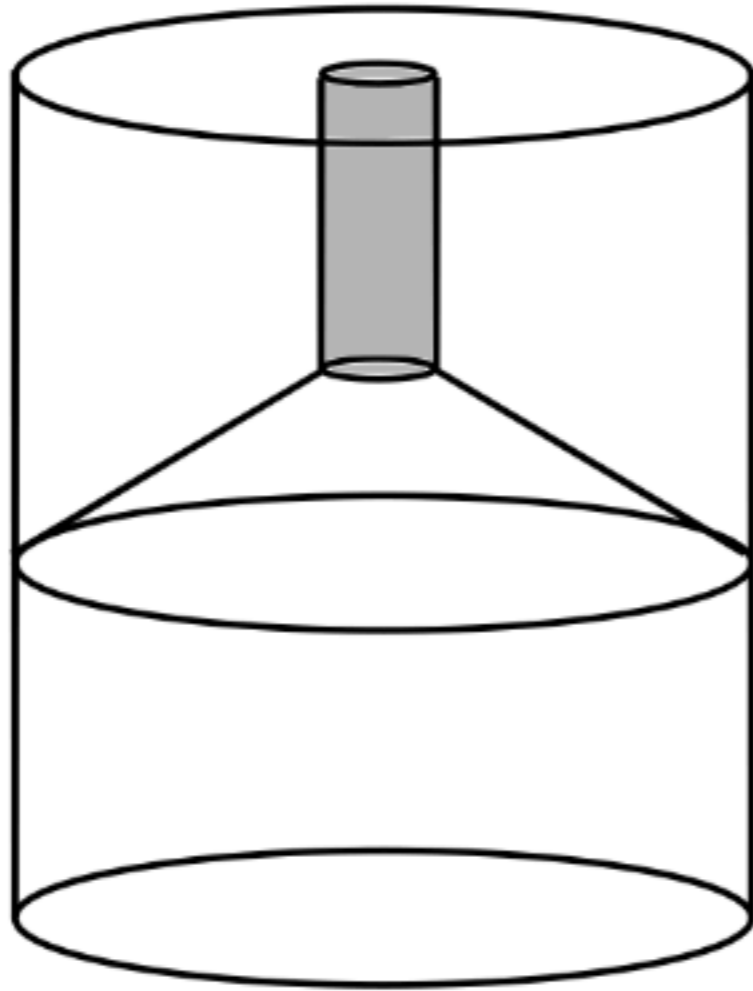
**conformal sector of SYK satisfies ETH**

A Bulk Story?

# Dual of Schwarzian theory in 1st Limit?

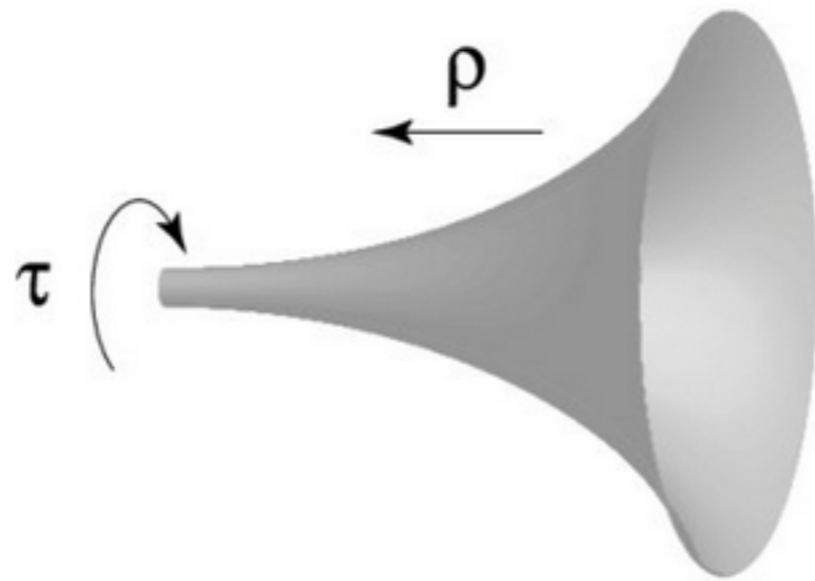


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[Vos '18]

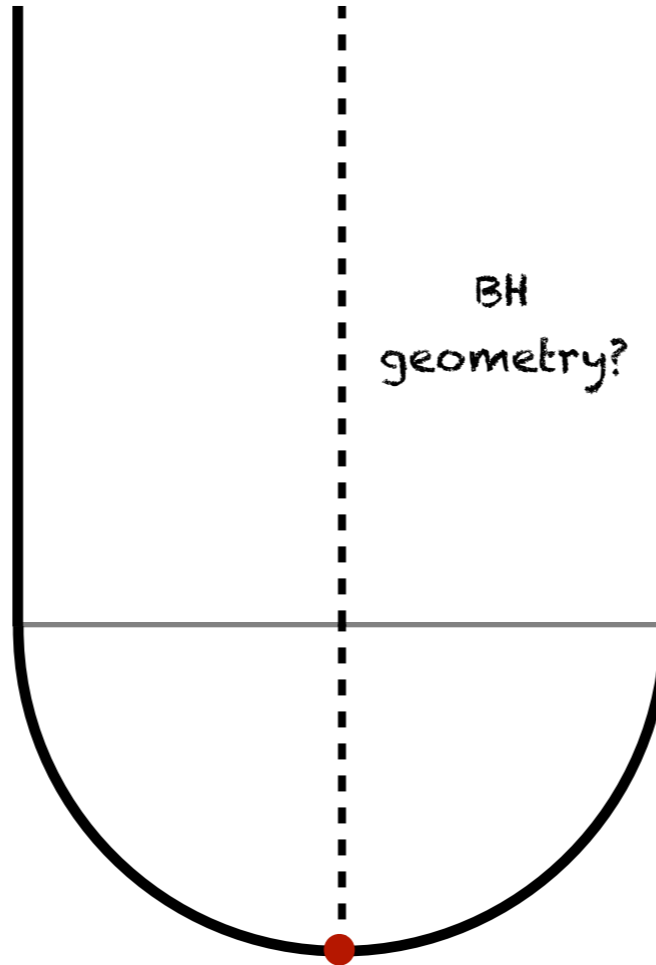
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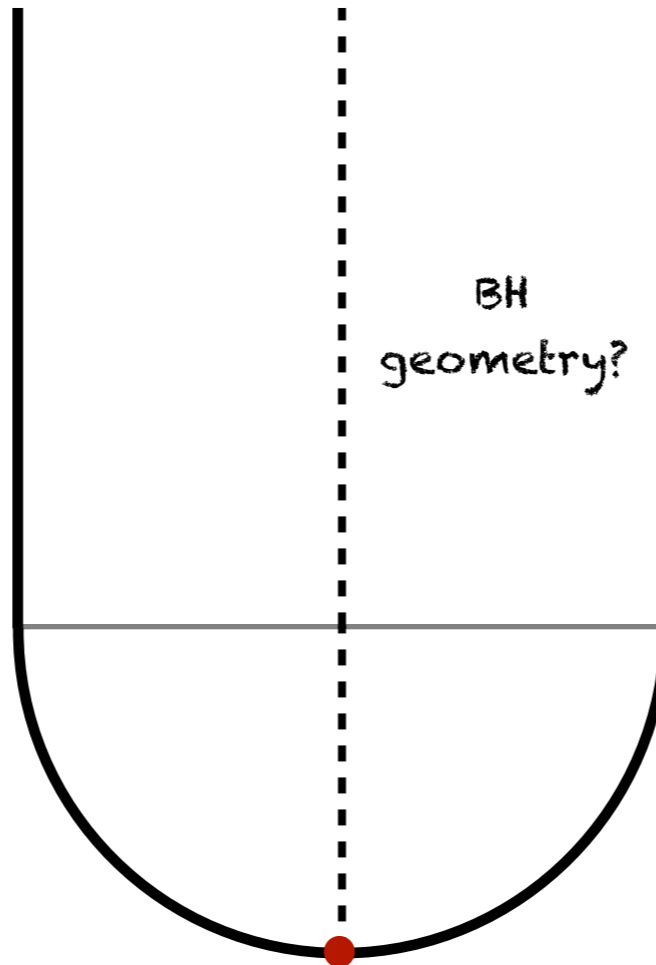
Thermal  $AdS_2$



# Dual of SYK theory in Conformal Limit?



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Can the ETH in conformal sector be understood as a correction?

# Summary

- We showed that Eigenstate thermalization can be studied analytically in SYK model in 2 limits:
  - ♦ Schwarzian limit: where the contribution of pseudo-Goldstone modes is considered
    - First Thermodynamic limit:  $N \rightarrow \infty$  **then**  $\beta J \rightarrow \infty$   
**Weak ETH!**
    - Second Thermodynamic limit:  $N, \beta J \rightarrow \infty$  **simultaneously, followed by**  $g^2 \sim \frac{\beta J}{N} \rightarrow 0$   
**No ETH!**
    - ETH in boundary states of Liouville theory: **ETH!**
  - ♦ Conformal limit: where only the operators that are primaries of Virasoro (and their descendants) are considered  
**ETH!**

## TO DO

- Bulk dual of the each of the above limits
- Are 'heavy' states chaotic?

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    - First Thermodynamic limit:  $N \rightarrow \infty$  **then**  $\beta J \rightarrow \infty$   
**Weak ETH!**
    - Second Thermodynamic limit:  $N, \beta J \rightarrow \infty$  **simultaneously, followed by**  $g^2 \sim \frac{\beta J}{N} \rightarrow 0$   
**No ETH!**
    - ETH in boundary states of Liouville theory: **ETH!**
  - ♦ Conformal limit: where only the operators that are primaries of Virasoro (and their descendants) are considered  
**ETH!**

## TO DO

- Bulk dual of the each of the above limits
- Are 'heavy' states chaotic?

THANK YOU!