## General and Differential Topology QR Exam – May 2, 2022

with solutions

This exam consists of five problems. All manifolds are assumed to be  $C^{\infty}$ .  $\mathfrak{X}(M)$  denotes the space of smooth vector fields on the manifold M. All items will be graded independently of each other.

**Problem 1.** The subgroup  $\Gamma$  of SU(2) generated by the matrix

$$\gamma := \begin{pmatrix} i & 0 \\ 0 & -1 \end{pmatrix} \in SU(2)$$

is isomorphic to  $\mathbb{Z}_4$ , and it acts on the unit three-sphere  $S^3 \subset \mathbb{C}^2$  by matrix multiplication. Let  $X = S^3/\Gamma$  be the orbit space, with the quotient topology. Answer the following questions, with proofs:

- 1. Is X is second countable?
- 2. Is X is Hausdorff?
- 3. Is the projection  $\pi: S^3 \to X$  is a local homeomorphism?

Solution: (1)Yes. Since the action is continuous the projection  $\pi$  is an open map. Then, applying a standard result, X is second countable because  $S^3$  is. (2) Yes. Similarly, a standard result is that since  $\pi$  is open X is Hausdorff iff the orbit relation is closed in  $S^3 \times S^3$ . Since the group is finite and  $S^3$  compact, the orbit relation is the union of finitely-many closed sets (the graphs of the action of each group element), so it is closed. (3) Yes, because in addition the action is free (which should be checked).

**Problem 2.** A smooth  $F: M \to M$  is called a *Lefshetz map* iff for all  $p \in M$  such that F(p) = p one has:

 $1 \in \mathbb{R}$  is not an eigenvalue of  $dF_p: T_pM \to T_pM$ .

- 1. Show that F is Lefschetz iff its graph and the diagonal  $\Delta \subset M \times M$  intersect transversely.
- 2. Show that if F is Lefschetz then the set  $\{p \in M : F(p) = p\}$  consists of isolated points.
- 3. Is the converse of the previous statement true?

Solution: Let  $\Gamma \subset M \times M$  be the graph of F; this is a regular submanifold because it is the image of the proper embedding  $M \ni p \mapsto (p, F(p)) \in M \times M$ . Let  $(p, p) \in \Gamma \cap \Delta$ , i.e. F(p) = p. Then

$$T_{(p,p)}\Gamma = \{(v,dF_p(v)) \mid v \in T_pM\} \quad \text{and} \quad T_{(p,p)}\Delta = \{(v,v) \mid v \in T_pM\}$$

Both of these spaces have dimension n, the dimension of M, so the intersection is transversal iff their intersection is zero, that is iff  $\forall v \in T_p M \ dF_p(v) = v \Rightarrow v = 0$ .

- (2) By transversality, the intersection  $\Gamma \cap \Delta$  is a manifold of codimension 2n, that is, it has dimension zero. Therefore it consists of isolated points.
- (3) No, it suffices to consider a map  $F:(0,1)\to(0,1)$  with finitely-many fixed points whose graph is tangent to the diagonal at one point.

**Problem 3.** Let  $S^2 \subset \mathbb{R}^3$  be the two-sphere with the standard orientation, and  $F: S^2 \to S^2$  be given by F(a,b,c) = (a,-b,-c). Note that F is a diffeomorphism (no proof needed). Also, let x,y,z denote the restrictions of the coordinate functions to  $S^2$ , and let  $\alpha = xydy \wedge dz$ .

- 1. Establish whether or not F is orientation preserving, and compute  $F^*(\alpha)$ . What do your findings imply about the value of  $\int_{S^2} \alpha$ ? Explain.
- 2. The vector field  $\langle -y, x, 0 \rangle$  in  $\mathbb{R}^3$  is tangent to the sphere, and therefore it restricts to a vector field  $X \in \mathfrak{X}(S^2)$ . Compute the Lie derivative  $\mathcal{L}_X \alpha$ .

Solution: (1) Since the sphere is connected, it suffices to check whether  $dF_p$  is orientation preserving or not at a single  $p \in S^2$ . The simplest choice is p = (1, 0, 0), so the tangent space is identified with the yz plane. It is clear that  $dF_p$  is multiplication by (-1), which is orientation-preserving in dimension two. Moreover, since  $F^*x = x$ ,  $F^*y = -y$  and  $F^*z = -z$ , one has  $F^*\alpha = x(-y)d(-y) \wedge d(-z) = -\alpha$ . Since integration is invariant under pull-backs by orientation-perserving diffeomorphisms,  $\int_{S^2} \alpha = \int_{S^2} F^*\alpha = -\int_{S^2} \alpha$ , and therefore  $\int_{S^2} \alpha = 0$ .

(2) We apply Cartan's magic formula. Note that  $d\alpha = 0$  by dimensional considerations, so we are left with  $\mathcal{L}_X(\alpha) = d\iota_X\alpha = d(x^2ydz) = 2xydx \wedge dz + x^2dy \wedge dz$ .

**Problem 4.** Let M be a smooth manifold, and  $X \in \mathfrak{X}(M \times \mathbb{R})$  be a smooth vector field of the form

$$\forall (p,s) \in M \times \mathbb{R}$$
  $X_{(p,s)} = (V_{(p,s)}, \partial_s),$  where  $V_{p,s} \in T_pM$ .

(We are identifying  $T_{(p,s)}(M \times \mathbb{R})$  with  $T_pM \times T_s\mathbb{R}$ .) For each  $(p,s) \in M \times \mathbb{R}$ , let  $t \mapsto \Phi_t(p,s)$  be the integral curve of X starting at (p,s), and denote

$$\phi_{t,s}(p) := \pi \left( \Phi_{t-s}(p,s) \right), \text{ where } \pi : M \times \mathbb{R} \to M \text{ is the projection.}$$

- 1. Show that  $\forall t_0 \in \mathbb{R}$ ,  $p \in M$  the curve on M  $t \mapsto \gamma(t) = \phi_{t,t_0}(p)$  is defined in a neighborhood of  $t_0$  and satisfies  $\dot{\gamma}(t) = V_{\gamma(t),t}$ ,  $\gamma(t_0) = p$ .
- 2. Assuming that X is complete, show that  $\forall r, s, t \in \mathbb{R}$

$$\phi_{t,s} \circ \phi_{s,r} = \phi_{t,r},$$

where  $\phi_{t,s}: M \to M$  is the map  $p \to \phi_{t,s}(p)$ , etc.

Solution: (1) The integral curve of X,  $u \mapsto \Phi_u(p, s)$ , is defined for u in a neighborhood of zero. (2) By definition,  $\Phi_{t-s}(p, s) = (\phi_{t,s}(p), t)$ , or  $\Phi_u(p, s) = (\phi_{u+s,s}(p), u+s)$ .

Using that  $\Phi$  is a one-parameter group,

$$\Phi_t \circ \Phi_u(p, s) = \Phi_t(\phi_{u+s,s}(p), u+s) = (\phi_{t+u+s,u+s} \circ \phi_{u+s,s}(p), u+s+t)$$
$$= \Phi_{t+u}(p, s) = (\phi_{t+u+s,s}(p), t+u+s),$$

or

$$\phi_{t+u+s,s}(p) = \phi_{t+u+s,u+s} \circ \phi_{u+s,s}(p).$$

A change of variables yields the desired result.

**Problem 5.** Let G be a Lie group with Lie algebra  $\mathfrak{g} = T_e G$  (where e is the identity), and let  $A, B \in \mathfrak{g}$  be linearly independent elements satisfying [A, B] = 0.

- 1. Carefully explain why  $\forall s, t \in \mathbb{R} \exp(sA) \exp(tB) = \exp(tB) \exp(sA)$ , where  $\exp : \mathfrak{g} \to G$  is the exponential map.
- 2. Show that the map  $E: \mathbb{R}^2 \ni (s,t) \mapsto \exp(sA) \exp(tB) \in G$  is an immersion.
- 3. Specialize to the case G = U(3), and A, B diagonal with diagonal entries the components of  $i\vec{\lambda} = \langle i\lambda_1, i\lambda_2, i\lambda_3 \rangle$  and  $i\vec{\mu} = \langle i\mu_1, i\mu_2, i\mu_3 \rangle$  respectively.

Under what conditions on  $\vec{\lambda}, \vec{\mu} \in \mathbb{R}^3$  is the image of the map E a closed (regular) submanifold of U(3)?

Solution: (1) Denote by  $A^{\sharp}$ ,  $B^{\sharp}$  the left-invariant vector fields corresponding to A and B. By left-invariance, left multiplication by any  $g \in G$  maps integral curves of  $B^{\sharp}$  to integral curves, and therefore

$$\exp(sA)\exp(tB) = \phi_t^{B^{\sharp}}(\exp(sA)) = \phi_t^{B^{\sharp}} \circ \phi_s^{A^{\sharp}}(e)$$

since  $\exp(sA) = \phi_s^{A^{\sharp}}(e)$ . A similar expression holds with sA and tB exchanged. On the other hand, the assumption on A and B is that  $A^{\sharp}$ ,  $B^{\sharp}$  commute as vector fields. Therefore, their flows  $\phi^{A^{\sharp}}$  and  $\phi^{B^{\sharp}}$  commute, and the result follows.

(2) Pick  $(s,t) \in \mathbb{R}^2$  and compute

$$dE_{(s,t)}(\partial_t) = dL_{\exp(sA)}(B_{\exp(tB)}^{\sharp}) = B_{E(s,t)}^{\sharp} = dL_{E(s,t)}(B)$$

where  $L_g: G \to G$  is left translation by  $g \in G$ . Similarly,  $dE_{(s,t)}(\partial_s) = dL_{E(s,t)}(A)$ . Since A, B are lineraly independent and  $dL_{E(s,t)}: T_eG \to T_{E(s,t)}G$  is an isomorphism, the result follows.

(3) One computes that E(s,t) is the diagonal matrix with entries  $e^{i(s\lambda_j+t\mu_j)}$ , j=1,2,3. Observe that the image of E is contained in the 3-torus  $T\subset U(3)$  of diagonal matrices, and the question is equivalent to whether the image of E is a submamifold of T. If  $\Pi\subset\mathbb{R}^3$  is the span of  $\{\vec{\lambda},\vec{\mu}\}$ , the condition is that

$$\Pi \cap 2\pi \mathbb{Z}^3$$

be a lattice in  $\Pi$ .